SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROV               | /AL                      |
|--------------------------|--------------------------|
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|                          | Estimated average burden |

| 1. Name and Address of Reporting Person*   |                |                                      |                 | 2. Issuer Name and Ticker or Trading Symbol<br>VOLITIONRX LTD [ VNRX ] |   |             |                                   |               |               |  | tionship of Reporting F<br>all applicable)                        | Reporting Person(s) to Issuer<br>ble)               |            |
|--|----------------|--------------------------------------|-----------------|--|---|-------------|-----------------------------------|---------------|---------------|--|---|---|------------|
| Colman Alan<br>(Last)  | (First)        | (Middle)                             | 3. E            |  | Earliest Transaction                    | - L         |                                   |               |               | - X  | Director<br>Officer (give title<br>below)                         | 10% O<br>Other (<br>below)                          |            |
| 1489 WEST WAF<br>SUITE 110   | RM SPRINGS ROA | D                                    | 4. 1            | If Amen  | ndment, Date of Ori                     | iginal File | ed (Mo                            | nth/Day/Year) |               | 6. Indiv   | idual or Joint/Group Fi<br>Form filed by One I                    |   | able Line) |
| (Street)<br>HENDERSON  | NV             | 89014                                |                 |  |   |             |                                   |               |               |  | Form filed by More  | than One Reporti                                    | ng Person  |
| (City)   | (State)        | (Zip)                                |                 |  |   |             |                                   |               |               |  |   |   |            |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |                |                                      |                 |  |   |             |                                   |               |               |  |   |   |            |
| Date   |                | 2. Transactio<br>Date<br>(Month/Day/ | Execution Date, |  | 3.<br>Transaction<br>Code (Instr.<br>8) |             | 4. Securities A<br>Disposed Of (D |               |               | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |            |
|  |                |                                      |                 |  |   | Code        | v                                 | Amount        | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)                                |   | (Instr. 4) |
| Common Stock 10/1  |                |                                      | 10/13/20        | 2022   |   | Α           |                                   | 2,625(1)      | A             | \$ <mark>0</mark>  | 165,904   | D   |            |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |                |                                      |                 |  |   |             |                                   |               |               |  |   |   |            |

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security |  | <sup>′</sup> |   | Derivative |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Direct (D) | Beneficial<br>Ownership<br>(Instr. 4) |
|--|---|--|--------------|---|------------|-----|--|--------------------|--|-------------------------------------|---|--|------------|---------------------------------------|
|  |   |  | Code         | v | (A)        | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |            |                                       |

## Explanation of Responses:

1. On October 4, 2022, the reporting person was awarded 15,000 restricted stock units under the Issuer's 2015 Stock Incentive Plan, subject to vesting upon the achievement of certain corporate performance goals on or prior to December 31, 2022 and June 30, 2023 and also subject to time-based vesting. Certain of the performance goals were met, resulting in the rights with respect to 2,625 restricted stock units vesting. The restricted stock units are further subject to a 3-year time-based vesting schedule, vesting in three equal installments of 875 units on each of October 4, 2023, October 4, 2024, and October 4, 2025, respectively. Upon vesting and settlement, the reporting person will receive a number of shares of common stock equal to the number of restricted stock units that have vested.

## Remarks:

|   | /s/ Alan Colman                  |
|---|----------------------------------|
| ; | ** Signature of Reporting Person |

<u>10/14/2022</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.