SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Butera Salvatore Thomas						2. Issuer Name and Ticker or Trading Symbol <u>VOLITIONRX LTD</u> [VNRX]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) 1489 WEST WARM SPRINGS ROAD						3. Date of Earliest Transaction (Month/Day/Year) 01/12/2023								x	Officer (g below)		e Other (specify below) olition Veterinary		specify
SUITE 110					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									 Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 				
(Street) HENDERSON NV 89014 (City) (State) (Zip)														Form filed by More than One Reporting Person					
	(State)			e I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned															
1. Title of Security (Instr. 3) Date					Transaction		2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4		4. Securit	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			5. Amount		Form	vnership : Direct (D) direct (I) : 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
										v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)
Common Stock 01/1					12/2023				Α	A 24,000) (1)	A	\$0.00	170,200			D	
Common Stock														51,3	350		D ⁽²⁾		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	'ear) if any (Month/Day/Year)			Transaction Code (Instr. B)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Exerc ion Da /Day/N		Sec Deri	urities Ur vative Se tr. 3 and 4	curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. On October 4, 2022, the reporting person was awarded 64,000 restricted stock units under the Issuer's 2015 Stock Incentive Plan, subject to vesting upon the achievement of certain corporate performance goals on or prior to December 31, 2022 and June 30, 2023 and also subject to time-based vesting. Certain of the performance goals were met, resulting in the rights with respect to 24,000 restricted stock units vesting. The restricted stock units are further subject to a 3-year time-based vesting schedule, vesting in three equal installments of 8,000 units on each of October 4, 2023, October 4, 2024 and October 4, 2025, respectively. Upon vesting and settlement, the reporting person will receive a number of shares of common stock equal to the number of restricted stock units that have vested. As reported in October 2022, the rights with respect to 11,200 restricted stock units subject to the award previously vested and remain subject to time-based vesting.

2. These shares of common stock are jointly owned by the reporting person and his spouse.

Remarks:

/s/ Salvatore Thomas Butera

** Signature of Reporting Person

01/13/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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